

PLAINTIFF		COURT CASE NUMBER	
UNITED STATES OF AMERICA		07 Civ. 4430 (KMK)	
DEFENDANT		TYPE OF PROCESS	
Up to \$6,100,000 on Deposit in Account...		Publication	
SERVE  ▶	NAME OF INDIVIDUAL, COMPANY, CORPORATION, ETC., TO SERVE OR DESCRIPTION OF PROPERTY TO SEIZE OR CONDEMN		
	USMS - SDNY		
AT	ADDRESS (Street or RFD, Apartment No., City, State and ZIP Code)		
	500 Pearl Street, New York, New York 10007		
SEND NOTICE OF SERVICE COPY TO REQUESTER AT NAME AND ADDRESS BELOW:			
MICHAEL J. GARCIA, U.S. ATTORNEY - SDNY UNITED STATES ATTORNEY'S OFFICE SOUTHERN DISTRICT OF NEW YORK  ONE SAINT ANDREW'S PLAZA NEW YORK, NY 10007-1703 ATTENTION: Abigail Seda			
Number of process to be served with this form - 285		RECEIVED 7/11/07 11:02 AM	
Number of parties to be served in this case			
Check for service on U.S.A.			
SPECIAL INSTRUCTIONS OR OTHER INFORMATION THAT WILL ASSIST IN EXPEDITING SERVER (Include Business and Alternate Addresses, All Telephone Numbers, and Estimated Times Available For Server): Fold			

Please publish the following notice of publication in a newspaper of general circulation for three consecutive weeks.

CATS # 07-FBI-003574, 003576 Please return to Abigail Seda, 212-637-2559

Signature of Attorney or other Originator requesting service on behalf of:	<input checked="" type="checkbox"/> PLAINTIFF  <input type="checkbox"/> DEFENDANT	TELEPHONE NUMBER	DATE
AUSA BARBARA WARD		212-637-1048	7/6/07

SPACE BELOW FOR USE OF U.S. MARSHAL ONLY - DO NOT WRITE BELOW THIS LINE

I acknowledge receipt for the total number of process indicated. (Sign only first USM 285 if more than one USM 285 is submitted)	Total Process	District of Origin No. 54	District to Serve No. 54	Signature of Authorized USMS Deputy or Clerk	Date
I hereby certify and return that I <input type="checkbox"/> have personally served, <input type="checkbox"/> have legal evidence of service, <input checked="" type="checkbox"/> have executed as shown in "Remarks", the process described on the individual, company, corporation, etc., at the address shown above or on the individual, company, corporation, etc., shown at the address inserted below.					
<input type="checkbox"/> I hereby certify and return that I am unable to locate the individual, company, corporation, etc., named above (See remarks below)					
Name and title of individual served (if not shown above)				A person of suitable age and discretion then residing in the defendant's usual place of abode.	
Address (complete only if different than shown above)				Date of Service 7/11/07	Time am pm
				Signature of U.S. Marshal or Deputy	
Service Fee	Total Mileage Charges (including endeavors)	Forwarding Fee	Total Charges	Advance Deposits	Amount owed to U.S. Marshal/Amount of Refund

REMARKS: 9/11/07 - Notice was published in the New York Law Jorunal on July 20, July 27, & August 3, 2007 (copy attached)

U.S. ATTORNEY COPY

## STATE OF NEW YORK

County of New York, S:

## MISCELLANEOUS

USA-33s-100-  
NOTICE OF CIVIL  
FORFEITURE  
PROCEEDING Rev. 11/94

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF  
NEW YORK — On May 30,  
2007, the United States of  
America commenced a civil  
action demanding forfeiture  
thereof under the provisions  
of 18 U.S.C. §981(a)(1)(C) and  
984, as property derived from  
proceeds traceable to fraud  
in the sale of securities, in vi-  
olation of 15 U.S.C. §§78j(b)  
and 78ff and C.F.R. §240.10b-5  
and 240.105-2, against Up to  
\$8,100,000 on Deposit in Ac-  
count No. 15.5876 at Bank Ju-  
lius Baer Co. Ltd (Guernsey  
Branch); and Up to \$3,651,339  
on Deposit in Account No.  
15.5568 at Bank Julius Baer  
Co. Ltd (Guernsey Branch), 07  
Civ. 4430 (KMK).

Notice is hereby given that  
all persons claiming the same  
or knowing or having any-  
thing to say why the same  
should not be forfeited pursu-  
ant to the prayer of said com-  
plaint, must file their claim in  
accordance with Rule G from  
the Supplemental Rules for  
Admiralty or Maritime  
Claims and Asset Forfeiture  
Actions with the Clerk of the  
Court, Room 120, United  
States Court House, 500 Pearl  
Street, New York, New York,  
by September 04, 2007, which  
is 30 days after the last publi-  
cation of this action or within  
such additional time as may  
be allowed by the Court, and  
must serve their answers  
within 20 days after the filing  
of their claims, or default and  
forfeiture will be ordered.  
Any person with an interest  
in the property may also wish  
to file a petition for remission  
or mitigation of the forfei-  
ture, as provided for in Title  
28, Code of Federal regula-  
tions, and failure to file such  
a petition may affect any  
rights that a person claiming  
an interest in the property  
may have with respect to this  
property.

Dated: New York, New  
York July, 2007

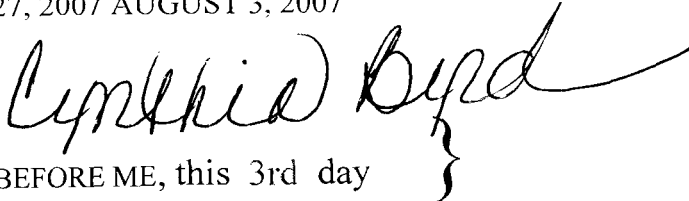
MICHAEL J. GARCIA  
U.S. Attorney/SDNY

JOSEPH R. GUCCIONE  
U.S. Marshal/SDNY

863291 jy20-F au3

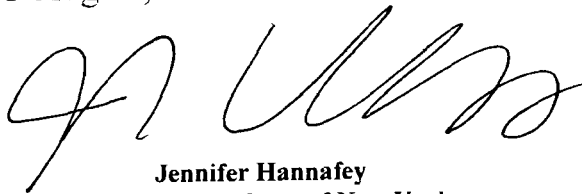
Cynthia Byrd, being duly sworn, says that she is the PRINCIPAL  
CLERK of the Publisher of the **NEW YORK LAW  
JOURNAL**, a Daily Newspaper; that the Advertisement hereto  
annexed has been published in the said **NEW YORK LAW  
JOURNAL** in each week for 3 successive weeks, commencing  
on the 20th day of July, 2007.

TO WIT: JULY 20, 27, 2007 AUGUST 3, 2007



SWORN TO BEFORE ME, this 3rd day

Of August, 2007.



Jennifer Hannafey  
Notary Public, State of New York  
No. 01ha6128042  
Qualified in Richmond County  
Commission Expires June 09, 2009

RECEIVED  
JUL 17 PM 11:02  
U.S. DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK